



KAAP AGULHAS MUNISIPALITEIT
CAPE AGULHAS MUNICIPALITY
U MASIPALA WASECAPE AGULHAS

**PERFORMANCE AGREEMENT
2015 - 2016**

**PETRUS JOHANNES VAN BILJON
DIRECTOR FINANCIAL SERVICES**

PERFORMANCE AGREEMENT

MADE AND ENTERED INTO BY AND BETWEEN:

THE CAPE AGULHAS MUNICIPALITY

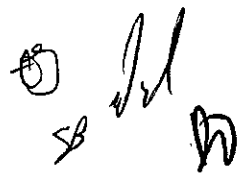
HEREIN REPRESENTED BY THE MUNICIPAL MANAGER
DEAN GABRIËL O'NEIL
ID 6812315177081
(herein and after referred to as the Employer)

AND

PETRUS JOHANNES VAN BILJON
DIRECTOR FINANCIAL SERVICES
ID 6303085136085

(herein and after referred to as the Employee)

FOR THE FINANCIAL YEAR
1 JULY 2015 - 30 JUNE 2016

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1. INTRODUCTION

- 1.1 The **Employer** has entered into a contract of employment with the **Employee** in terms of section 57(1) (a) of the Local Government: Municipal Systems Act 32 of 2000 ("the Systems Act").
- 1.2 Section 57(1)(b) of the Systems Act, read with the Contract of Employment concluded between the parties, requires the Parties to conclude an annual performance agreement;
- 1.3 The Parties wish to ensure that they are clear about the goals to be achieved, and secure the commitment of the **Employee** to a set of outcomes that will promote local government goals;
- 1.4 The Parties wish to ensure that there is compliance with Sections 57(4A, 4B and 4C) and 57(5) of the Systems Act as well as Regulations R796, R805 and R21 which were promulgated in terms thereof.

2 INTERPRETATION

- 2.1 In this Agreement the followings terms will have the meaning ascribed thereto:
 - 2.1.1 "**this Agreement**" means the performance agreement between the Employer and the Employee and the Annexures thereto;
 - 2.1.2 "**the Municipal Manager**" means the Municipal Manager of the Cape Agulhas Municipality appointed in terms of Section 55 of the Systems Act.
 - 2.1.3 "**the Employee**" means the Director Financial Services appointed in terms of Section 56 of the local Government Municipal Systems Act; (Act 32 of 2000)
 - 2.1.4 "**the Employer**" means Cape Agulhas Municipality; and
 - 2.1.5 "**the Parties**" means the Employer and Employee.



2.1.6 "**the Systems Act**" Means the Local Government: Municipal Systems Act 32, 2000 (Act no 32 of 2000), including any regulations made in terms thereof and amendments thereto as enacted from time to time.

2.1.7 "**the Structures Act**" Means the Local Government: Municipal Structures Act 117, 1998 (Act No 117 of 1998) including any regulations made in terms thereof and amendments thereto as enacted from time to time.

3 PURPOSE OF THIS AGREEMENT

- 3.1 To comply with the provisions of Section 57(4A, 4B and 4C) and 57(5) of the Systems Act as well as Regulations R796, R805 and R21 which were promulgated in terms thereof.
- 3.2 To specify objectives and targets established for the **Employee** and to communicate to the **Employee** the **Employer's** expectations of the **Employee's** performance targets and accountabilities;
- 3.3 To specify accountabilities as set out in the Performance Plan (**Annexure A**);
- 3.4 To monitor and measure performance against set targeted outputs and outcomes;
- 3.5 To establish a transparent and accountable working relationship;
- 3.6 To appropriately reward the **Employee** in accordance with section 12 of this agreement; and
- 3.7 To give effect to the **Employer's** commitment to a performance-orientated relationship with the **Employee** in attaining improved service delivery.

4 COMMENCEMENT AND DURATION

- 4.1 This Agreement will commence on 01 July 2015 and will remain in force until 30 June 2016 where-after a new Performance



Agreement shall be concluded between the parties for the next financial year or any portion thereof;

- 4.2 The Parties will conclude a new Performance Agreement that replaces this Agreement at least once a year by not later than 31st of July of the succeeding financial year;
- 4.3 This Agreement will terminate on the termination of the **Employee's** contract of employment for any reason; and
- 4.4 The content of this Agreement may be revised at any time during the abovementioned period to determine the applicability of the matters previously agreed upon.
- 4.5 If at any time during the validity of this Agreement the work environment alters (whether as a result of government or council decisions or otherwise) to the extent that the contents of this Agreement are no longer appropriate, the contents shall immediately be revised with due cognisance of applicable legislation.

5 PERFORMANCE OBJECTIVES

- 5.1 The Performance Plan (**Annexure A**) sets out –
 - 5.1.1 The performance objectives and targets that must be met by the **Employee**;
 - 5.1.2 The time frames within which those performance objectives and targets must be met; and
 - 5.1.3 The competency requirements required to operate effectively as senior managers in the local government environment. **Annexure B** defines the Competency Framework as prescribed by Regulation 21 to the Municipal Systems Act of 17 January 2014 (Local Government Regulations on the Appointment And Conditions Of Appointment of Senior Managers)

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- 5.2 The performance objectives and targets reflected in **Annexure A** are set by the **Employer** in consultation with the **Employee** and based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and shall include:
- 5.2.1 Key objectives that describe the main tasks that need to be done;
 - 5.2.2 Key performance indicators that provide the details of the evidence that must be provided to show that a key objective has been achieved;
 - 5.2.3 Target dates that describe the timeframe in which the targets must be achieved; and
 - 5.2.4 Weightings showing the relative importance of the key objectives to each other.
- 5.3 The Personal Development Plan (**Annexure C**) sets out the **Employee's** personal development requirements in line with the objectives and targets of the **Employer**; and
- 5.4 The **Employee's** performance will, in addition, be measured in terms of contributions to the goals and strategies set out in the **Employer's** Integrated Development Plan.

6 PERFORMANCE MANAGEMENT SYSTEM

- 6.1 The **Employee** agrees to participate in the performance management system that the **Employer** adopted for the employees of the Employer;
- 6.2 The **Employee** accepts that the purpose of the performance management system will be to provide a comprehensive system with specific performance standards to assist the **Employee** and service providers to perform to the standards required;

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- 6.3 The **Employer** will consult the **Employee** about the specific performance standards and targets that will be included in the performance management system applicable to the Employee;
- 6.4 The **Employee** undertakes to actively focus on the promotion and implementation of the Key Performance Areas (including special projects relevant to the employee's responsibilities) within the local government framework;
- 6.5 The criteria upon which the performance of the **Employee** shall be assessed shall consist of two components, both of which shall be contained in the Performance Agreement;
- 6.5.1 The **Employee** must be assessed against both components, with a weighting of 80:20 allocated to the Key Performance Areas (KPA's) and Competencies respectively.
- 6.5.2 Each area of assessment will be weighted and will contribute a specific part to the total score.
- 6.5.3 KPA's covering the main areas of work will account for 80% and Competencies will account for 20% of the final assessment.
- 6.6 The **Employee's** assessment will be based on his performance in terms of the outputs/outcomes (performance indicators) identified as per attached Performance Plan, which are linked to the KPAs, and will constitute 80% of the overall assessment result as per the weightings agreed to between the **Employer** and **Employee**:

KPA	KEY PERFORMANCE AREA
1	Service Delivery and Infrastructure
2	Municipal Transformation and Institutional Development
3	Local Economic Development
4	Municipal Financial Viability and Management
5	Good Governance, Public Participation Accountability and Transparency
TOTAL 80%	

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6.7 The Competencies will make up the other 20% of the **Employee's** assessment score. The following Competencies will be assessed in terms of the Regulations on Appointment and Conditions of Employment of Senior Managers (Regulation 21 of 17 January 2014):

LEADING COMPETENCIES	
Strategic Direction and Leadership	<ul style="list-style-type: none"> • Impact and Influence • Institutional Performance Management • Strategic Planning and Management • Organisational Awareness
People Management	<ul style="list-style-type: none"> • Human Capital Planning and Development • Diversity Management • Employee Relations Management • Negotiation and Dispute Management
Program and Project Management	<ul style="list-style-type: none"> • Program and Project Planning and Implementation • Service Delivery Management • Program and Project Monitoring and Evaluation
Financial Management	<ul style="list-style-type: none"> • Budget Planning and Execution • Financial Strategy and Delivery • Financial Reporting and Monitoring
Change Leadership	<ul style="list-style-type: none"> • Change Vision and Strategy • Process Design and Improvement • Change Impact Monitoring and Evaluation
Governance Leadership	<ul style="list-style-type: none"> • Policy Formulation • Risk and Compliance Management • Cooperative Governance
CORE COMPETENCIES	
Moral Competence	
Planning and Organising	
Analysis and Innovation	
Knowledge and Information Management	
Communication	
Results and Quality Focus	
TOTAL 20%	

7 PERFORMANCE ASSESSMENT

7.1 The Performance Plan (**Annexure A**) to this Agreement sets out –

7.1.1 The standards and procedures for evaluating the Employee's performance; and

- 7.1.2 The intervals for the evaluation of the **Employee's** performance.
- 7.2 Despite the establishment of agreed intervals for evaluation, the **Employer** may in addition review the **Employee's** performance at any stage while the contract of employment remains in force;
- 7.3 Personal growth and development needs identified during any performance review discussion must be documented in a Personal Development Plan as well as the actions agreed to and implementation must take place within set time frames;
- 7.4 The **Employee's** performance will be measured in terms of contributions to the goals and strategies set out in the **Employer's** Integrated Development Plan (IDP) as described in 7.6 – 7.13 below;
- 7.5 The **Employee** will submit quarterly performance reports (SDBIP) and a comprehensive annual performance report at least one week prior to the performance assessment meetings to the Evaluation Panel Chairperson for distribution to the panel members for preparation purposes;
- 7.6 Assessment of the achievement of results as outlined in the performance plan:
- 7.6.1 Each KPI or group of KPIs shall be assessed according to the extent to which the specified standards or performance targets have been met and with due regard to ad-hoc tasks that had to be performed under the KPI;
- 7.6.2 A rating on the five-point scale shall be provided for each KPI or group of KPIs which will then be multiplied by the weighting to calculate the final score;
- 7.6.3 The **Employee** will submit his self-evaluation to the Employer prior to the formal assessment;
- 7.6.4 In the instance where the **Employee** could not perform due to reasons outside the control of the employer and

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employee, the KPI will not be considered during the evaluation. The **Employee** should provide sufficient evidence in such instances; and

7.6.5 An overall score will be calculated based on the total of the individual scores calculated above.

7.7 Assessment of the Competencies:

7.7.1 Each Competency will be assessed in terms of the definitions provided (**Annexure B**) on a 360 degree basis during the mid-year and year-end reviews and will inform the final score awarded by the evaluation committee. 360 degree means that the employee's peers and managers reporting to him will assess his CCRs; and

7.7.2 A rating on the five-point scale described in 7.10 below shall be provided for each Competency which will then be multiplied by the weighting to calculate the final score;

7.7.3 An overall score will be calculated based on the total of the individual scores calculated above.

7.8 Overall rating

7.8.1 An overall rating is calculated by adding the overall scores as calculated in 7.6.5 and 7.7.3 above; and

7.8.2 Such overall rating represents the outcome of the performance appraisal.

7.9 The assessment of the performance of the Employee will be based on the following rating scale for KPA's:

LEVEL	TERMINOLOGY	DESCRIPTION
5	Outstanding performance	Performance far exceeds the standard expected of an employee at this level. The appraisal indicates that the Employee has achieved above fully effective results

		against all performance criteria and indicators as specified in the PA and Performance plan and maintained this in all areas of responsibility throughout the year.
4	Performance significantly above expectations	Performance is significantly higher than the standard expected in the job. The appraisal indicates that the Employee has achieved above fully effective results against more than half of the performance criteria and indicators and fully achieved all others throughout the year.
3	Fully effective	Performance fully meets the standards expected in all areas of the job. The appraisal indicates that the Employee has fully achieved effective results against all significant performance criteria and indicators as specified in the PA and Performance Plan.
2	Not fully effective	Performance is below the standard required for the job in key areas. Performance meets some of the standards expected for the job. The review/assessment indicates that the employee has achieved below fully effective results against more than half the key performance criteria and indicators as specified in the PA and Performance Plan.
1	Unacceptable performance	Performance does not meet the standard expected for the job. The review/assessment indicates that they employee has achieved below fully effective results against almost all of the performance criteria and indicators as specified in the PA and Performance Plan. The employee has failed to demonstrate the commitment or ability to bring performance up to the level expected in the job despite management efforts to encourage improvement.

7.10 The assessment of the performance of the **Employee** will be based on the following rating scale for Competencies:

RATING	ACHIEVEMENT	DESCRIPTION
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	LEVEL	
2	Basic	Applies basic concepts, methods, and understanding of local government operations, but requires supervision and development intervention
3	Competent	Develops and applies more progressive concepts, methods and understanding. Plans and guides the work of others and executes progressive analyses
4	Advanced	Develops and applies complex concepts, methods and understanding. Effectively directs and leads a group and executes in-depth analyses
5	Superior	Has a comprehensive understanding of local government operations, critical in shaping strategic direction and change, develops and applies comprehensive concepts and methods

A full description of achievement levels per competency is attached as **ANNEXURE B**.

7.11 For purposes of evaluating the performance of the **Employee** for the mid-year and year-end reviews, an evaluation panel constituted of the following persons will be established –

7.11.1 Municipal Manager;

7.11.2 Municipal Manager from another municipality;

7.11.3 Chairperson of the Performance Audit Committee or in his/her absence thereof, the Chairperson of the Audit Committee; and

7.11.4 A Member of the Mayoral Committee (Portfolio Chairperson).

7.12 The **Municipal Manager** will evaluate the performance of the **Employee** as at the end of the 1st and 3rd quarters; and

- 7.13 The **Municipal Manager** will give performance feedback to the Employee within five (5) working days after each quarterly and annual assessment meetings.

8 SCHEDULE FOR PERFORMANCE REVIEWS

- 8.1 The performance of the **Employee** in relation to his performance agreement shall be reviewed on the following dates with the understanding that the reviews in the first and third quarter may be verbal if performance is satisfactory:

QUARTER	REVIEW PERIOD	REVIEW TO BE COMPLETED BY
1	July - September	31 October 2015 (informal)
2	October – December	29 February 2016 (Mid-year panel assessment)
3	January – March	30 April 2016 (Informal)
4	April - June	30 September 2016 (Year-end panel assessment)

- 8.2 The **Employer** shall keep a record of the mid-year and year-end assessment meetings;
- 8.3 Performance feedback shall be based on the **Employer's** assessment of the **Employee's** performance;
- 8.4 The **Employer** will be entitled to review and make reasonable changes to the provisions of **Annexure A** from time to time for operational reasons. The **Employee** will be fully consulted before any such change is made; and
- 8.5 The **Employer** may amend the provisions of **Annexure A** whenever the performance management system is adopted, implemented and/or amended as the case may be. In that case, the Employee will be fully consulted before any such change is made.

9 DEVELOPMENTAL REQUIREMENTS

The Personal Development Plan (PDP) for addressing developmental gaps is attached as **Annexure C**. Such Plan may be implemented and/or amended as the case may be after the each assessment. In that case,

the Employee will be fully consulted before any such change or plan is made.

10 OBLIGATIONS OF THE EMPLOYER

10.1 The **Employer** shall-

10.1.1 Create an enabling environment to facilitate effective performance by the **Employee**;

10.1.2 Provide access to skills development and capacity building opportunities;

10.1.3 Work collaboratively with the **Employee** to solve problems and generate solutions to common problems that may impact on the performance of the **Employee**;

10.1.4 On the request of the **Employee** delegate such powers reasonably required by the Employee to enable him to meet the performance objectives and targets established in terms of this Agreement; and

10.1.5 Make available to the **Employee** such resources as the **Employee** may reasonably require from time to time assisting him to meet the performance objectives and targets established in terms of this Agreement.

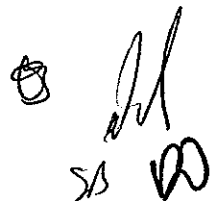
11 CONSULTATION

11.1 The **Employer** agrees to consult the **Employee** timeously where the exercising of its powers will have amongst others-

11.1.1 A direct effect on the performance of any of the **Employee's** functions;

11.1.2 Commit the Employee to implement or to give effect to a decision made by the **Employer**; and

11.1.3 A substantial financial effect on the **Employer**.

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- 11.2 The Employer agrees to inform the Employee of the outcome of any decisions taken pursuant to the exercise of powers contemplated in clause 13.1 as soon as is practicable to enable the **Employee** to take any necessary action with delay.

12 REWARD

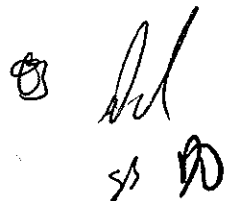
- 12.1 The evaluation of the **Employee's** performance will form the basis for rewarding outstanding performance or correcting unacceptable performance;
- 12.2 The payment of the performance bonus is determined by the performance score obtained during the 4th quarter and as informed by the quarterly performance assessments;
- 12.3 The performance bonus will be awarded based on the following scheme:

PERFORMANCE RATING	LEVEL	BONUS CALCULATION
0% - 40%	Poor performance	0% of Total package
41% - 50%	Average Performance	5% of Total Package
51% - 60%	Fair Performance	8% of Total Package
61% - 70%	Good Performance	11% of Total Package
71% - 100%	Excellent Performance	14% of Total Package

- 12.4 In the event of the Employee terminating his services during the validity period of this Agreement, the Employee's performance will be evaluated for the portion during which he was employed and he will be entitled to a pro-rata performance bonus based on his evaluated performance for the period of actual service; and
- 12.5 The **Employer** will submit the total score of the annual assessment and of the **Employee**, to full Council for purposes of recommending the bonus allocation.

13 MANAGEMENT OF EVALUATION OUTCOMES

- 13.1 Where the **Employer** is, any time during the **Employee's** employment, not satisfied with the **Employee's** performance with respect to any matter dealt with in this Agreement, the **Employer** will give notice to the **Employee** to attend a meeting;



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- 13.2 The **Employee** will have the opportunity at the meeting to satisfy the **Employer** of the measures being taken to ensure that his performance becomes satisfactory and any programme, including any dates, for implementing these measures;
- 13.3 Where there is a dispute or difference as to the performance of the **Employee** under this Agreement, the Parties will confer with a view to resolving the dispute or difference; and
- 13.4 In the case of unacceptable performance, the **Employer** shall –
- 13.4.1 Provide systematic remedial or developmental support to assist the **Employee** to improve his performance; and
- 13.4.2 After appropriate performance counselling and having provided the necessary guidance and/or support as well as reasonable time for improvement in performance, the **Employer** may consider steps to terminate the contract of employment of the **Employee** on grounds of unfitness or incapacity to carry out his or her duties.

14 DISPUTE RESOLUTION

- 14.1 In the event that the **Employee** is dissatisfied with any decision or action of the **Employer** in terms of this Agreement, or where a dispute or difference arises as to the extent to which the **Employee** has achieved the performance objectives and targets established in terms of this Agreement, the **Employee** may within 3 (three) business days, meet with the **Employer** with a view to resolving the issue. The Employer will record the outcome of the meeting in writing;
- 14.2 If the Parties cannot resolve the issues within 10 (ten) business days, an independent arbitrator, acceptable to both parties, shall be appointed to resolve the matter within 30 (thirty) business days;
- 14.3 In the instance where the matters referred to in 14.2 were not successfully resolved, the matter shall be referred to the MEC for local government in the province within 30 (thirty) business days of

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receipt of a formal dispute from the Employee or any other person appointed by the MEC; and

14.4 In the event that the mediation process contemplated above fails, the relevant clause of the Contract of Employment shall apply.

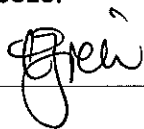
15 GENERAL

15.1 The contents of this agreement and the outcome of any review conducted in terms of **Annexure A** may be made available to the public by the Employer; and

15.2 Nothing in this agreement diminishes the obligations, duties or accountabilities of the **Employee** in terms of his contract of employment, or the effects of existing or new regulations, circulars, policies, directives or other instruments.

Thus done and signed at Bredas Hosp on this 23 day June of 2015.

AS WITNESSES:


1. 



EMPLOYEE

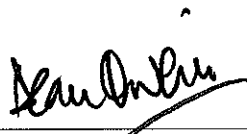
2. _____

Thus done and signed at Bredas hosp on this 24th day June of 2015.

AS WITNESSES:

1. 

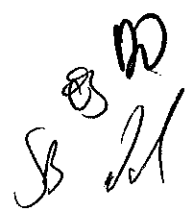
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EMPLOYER

ANNEXURE A

COMPETENCY FRAMEWORK

COMPETENCY	DEFINITION	WEIGHT
LEADING COMPETENCIES		
Strategic Direction and Leadership	<p>Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate,</p> <ul style="list-style-type: none"> o Impact and influence o Institutional Performance Management o Strategic Planning and Management o Organisational Awareness 	1.67
People Management	<p>Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives</p> <ul style="list-style-type: none"> o Human Capital Planning and Development o Diversity Management o Employee Relations Management o Negotiation and Dispute Management 	1.67
Program and Project Management	<p>Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives</p> <ul style="list-style-type: none"> o Program and Project Planning and Implementation o Service Delivery Management o Program and Project Monitoring and Evaluation 	1.67
Financial Management	<p>Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner</p> <ul style="list-style-type: none"> o Budget Planning and Execution o Financial Strategy and Delivery o Financial Reporting and Monitoring 	1.67
Change Leadership	<p>Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community</p> <ul style="list-style-type: none"> o Change Vision and Strategy o Process Design and Improvement o Change Impact Monitoring and Evaluation 	1.67

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Governance Leadership	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships <ul style="list-style-type: none"> o Policy Formulation o Risk and Compliance Management o Cooperative Governance 	1.67
CORE COMPETENCIES		
Moral Competence	<ul style="list-style-type: none"> • Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behaviour that reflects moral competence 	1.67
Planning and Organising	<ul style="list-style-type: none"> • Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk 	1.67
Analysis and Innovation	<ul style="list-style-type: none"> • Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives 	1.67
Knowledge and Information Management	<ul style="list-style-type: none"> • Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government 	1.67
Communication	<ul style="list-style-type: none"> • Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome 	1.67
Results and Quality Focus	<ul style="list-style-type: none"> • Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives 	1.67
TOTAL 20%		

KEY PERFORMANCE INDICATORS

The Performance Plan sets out the performance objectives and targets which are based on the Integrated Development Plan, Service Delivery and Budget Implementation Plan (SDBIP) and the Budget of the Employer, and includes key performance indicators, units of measure, details of evidence that must be provided to show that the indicator has been achieved, target dates and weightings which show the relative importance of key performance indicators to one another.

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
SDBIP Graph	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Effective management and supervision of the SDBIP on the KPI's of the Sub Directorate: Revenue	90% of the KPI's of the Sub Directorate have been met as per IGNITE Dashboard	90%	Updated SDBIP and Report	90	90	90	90	90	2.2
SDBIP Graph	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Effective management and supervision of the SDBIP on the KPI's of the Sub Directorate: Expenditure	90% of the KPI's of the Sub Directorate have been met as per IGNITE Dashboard	90%	Updated SDBIP and Report	90	90	90	90	90	2.2
SDBIP Graph	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Effective management and supervision of the SDBIP on the KPI's of the Sub Directorate: Revenue	90% of the KPI's of the Sub Directorate have been met as per IGNITE Dashboard	90%	Updated SDBIP and Report	90	90	90	90	90	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
	on	free environment	Sub Directorate: SCM	met as per IGNITE Dashboard								
SDBIP Graph	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Effective management and supervision of the SDBIP on the KPI's of the Sub Directorate: BTO	90% of the KPI's of the Sub Directorate have been met as per IGNITE Dashboard	90%	Updated SDBIP and Report	90	90	90	90	90	2.2
TL1	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement sustainable programmes to improve their livelihoods	Number of formal residential properties that receive piped water (credit and prepaid water) that is connected to the municipal water infrastructure network as at 30 June 2016	Number of residential properties which are billed for water or have pre paid meters as at 30 June 2016	8 490	Billing data on financial system	8813	8813	8813	8813	8813	2.2
TL2	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement sustainable	Number of formal residential properties connected to the municipal electrical infrastructure network (credit and prepaid	Number of residential properties which are billed for electricity or have pre paid meters (Excluding Eskom areas)	8 030	Billing data on financial system	8349	8349	8349	8349	8349	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
TL3	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement sustainable programmes to improve their livelihoods	Number of formal residential properties connected to the municipal waste water sanitation/sewerage network for service, irrespective of the number of water closets (toilets) at 30 June 2016	Number of residential properties which are billed for sewerage at 30 June 2016	5 582	Billing data on financial system	5884	5884	5884	5884	5884	2.2
TL4	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement sustainable programmes to improve their livelihoods	Number of formal residential properties for which refuse is removed once per week at 30 June 2016	Number of residential properties which are billed for refuse removal at 30 June 2016	8 490	Billing data on financial system	8813	8813	8813	8813	8813	2.2
TL5	Municipal	To facilitate	Provide 6kl free	Number of	8 490	Billing data	8813	8813	8813	8813	8813	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
	Financial Viability and Management	the holistic development of people, expand the safety net for vulnerable groups and implement sustainable programmes to improve their livelihoods	basic water per month to all households	HH receiving free basic water		on financial system						
TL6	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement sustainable programmes to improve their livelihoods	Provide 50kwh free basic electricity per month per indigent household in terms of the equitable share requirements	Number of HH receiving free basic electricity	3180	Billing data on financial system	3393	3393	3393	3393	3393	2.2
TL7	Municipal Financial Viability and Management	To facilitate the holistic development of people, expand the safety net for vulnerable groups and implement	Provide free basic sanitation, refuse and availability fee for water to indigent households in terms of the equitable share	Number of HH receiving free basic sanitation	3180	Billing data on financial system	3393	3393	3393	3393	3393	2.2



REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
TL8	Municipal Financial Viability and Management	sustainable programmes to improve their livelihoods To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	requirements The percentage of the municipality's capital budget actually spent on capital projects by 30 June 2016	% of the municipal capital budget spent by 30 June 2016 ((Actual amount spent on projects / Total amount budgeted for capital projects) X 100	95%	Financial Statements	95	0	0	0	95	2.2
TL12	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Financial viability measured in terms of the municipality's ability to meet its service debt obligations at 30 June 2016 (Short Term Borrowing + Bank Overdraft Term + Short Term Lease + Long Term Borrowing + Total Operating	Debt to Revenue at 30 June 2016 (Short Term Borrowing + Bank Overdraft + Short Term Lease + Long Term Borrowing + Total Operating Revenue - Operating	70	Financial Statements	70	0	0	0	70	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
TL13	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Revenue - Operating Conditional Grant) Financial viability measured in terms of the outstanding service debtors at 30 June 2016 (Total outstanding service debtors/ revenue received for services)	Conditional Grant) Service debtors to revenue at 30 June 2016 – (Total outstanding service debtors/ revenue received for services)	10%	Financial Statements	10	0	0	0	10	2.2
TL14	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Financial viability measured in terms of the available cash to cover fixed operating expenditure at 30 June 2016 ((Cash and Cash Equivalents - Unspent Conditional Grants - Overdraft) + Short Term Conditional Grants - Overdraft) + Monthly Fixed Operational Expenditure excluding Depreciation, Amortisation and Provision	Cost coverage at 30 June 2016 ((Cash and Cash Equivalents - Unspent Conditional Grants - Overdraft) + Short Term Investment) / Monthly Fixed Operational Expenditure excluding Depreciation, Amortisation and Provision	1.5	Financial Statements	1.5	0	0	0	1.5	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
TL44	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	(Depreciation, Amortisation, and Provision for Bad Debts, Impairment and Loss on Disposal of Assets)) Achieve a debtors payment percentage of at least 98% by 30 June 2016	for Bad Debts, Impairment and Loss on Disposal of Assets)) % debtors payment ratio achieved {(Gross Debtors Closing Balance + Billed Revenue - Gross Debtors Opening Balance + Bad Debts Written Off)/Billed Revenue} x 100}	98%	Records of calculation and Annual Financial Statements for final annual report figure	98	98	98	98	98	2.2
TL45	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of	Compile the Revenue enhancement project plan (phase 1) and submit to council by 30 June 2016	Revenue enhancement project plan (phase 1) completed and submitted to council by 30 June 2016	New performance KPI for 2015/16	Project plan (phase 1) completion report	1	0	0	0	1	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
D1	Good Governance and Public Participation	Cape Agulhas Municipality To provide an administration that ensures public participation in a transparent and accountable way as well as to promote intergovernmental relations	Submit monthly reports to the MM on the progress made with the implementation of Council resolutions	Number of reports submitted	12	Acknowledgement of receipt and or Signed-off implementation document kept at MM's office	12	3	3	3	3	2.2
D2	Municipal Transformation and Institutional Development	Establishing a functional municipality that can deliver on the IDP priorities, implement policies that will facilitate transformation, effective staff structure, general management practices and training	Submit quarterly Health and Safety reports to HR manager	Number of reports submitted	12	Reports submitted and/or Acknowledgement of receipt	4	1	1	1	1	2.2
D3	Good Governance and Public Participation	Promote service excellence and a corruption	Submit monthly progress reports to the Risk official on the management of	Number of risk management progress reports	12	Reports submitted and/or Acknowledgement of	12	3	3	3	3	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
D4	Good Governance and Public Participation	free environment Promote service excellence and a corruption free environment	risks identified for the Directorate Evaluate all personnel in terms of the performance management system at least twice a year	Number of formal evaluations completed	2	PMS evaluation report and individual score sheets	2	1	0	1	0	2.2
D5	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Address all incoming documents, post, etc. of the directorate within 21 days after receipt	% of all correspondence addressed within 21 days after receipt	95%	Collaborator report	95	95	95	95	95	2.2
D6	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Develop and sign performance agreements and development plans with all identified personnel before 31 August	% signed agreements and development plans	100%	Ignite PMS report and Signed agreements and development plans	100	0	0	0	0	2.2
D7	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Submit monthly report to the MM on the Directorate's statistics on leave taken by personnel and overtime worked	Number of reports submitted	12	Acknowledgement of receipt and/or report submitted	12	3	3	3	3	2.2
D8	Good	Promote	Submit funding	Number of	2	Acknowledged	2	0	1	0	1	2.2

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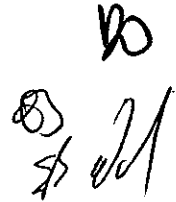
REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
D9	Good Governance and Public Participation	service excellence and a corruption free environment Promote service excellence and a corruption free environment	motivations to external sources and funders (excluding current providers) Develop and submit an action plan on all final internal audit reports received within 7 days after the receipt of the report	funding motivations submitted to external sources and funders % action plans developed for all final internal audit reports received	New KPI	gement of receipt and or motivations submitted Progress reports to internal audit	100	100	100	100	100	2.2
D10	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Submit all approved leave forms to the leave officer within 5 working days after approval	% submitted within 5 working days	New KPI	Proof of submitting	100	100	100	100	100	2.2
D11	Good Governance and Public Participation	Promote service excellence and a corruption free environment	95% of the approved capital budget for the directorate spent (Actual expenditure divided by the total approved capital budget)x100	% of capital budget spent	95%	Monthly income and expenditure report	95	10	30	70	95	2.2
D12	Good Governance and Public Participation	Promote service excellence and a corruption free environment	Development of an action plan to address all the issues raised	Action plan submitted by 31 December	1	Minutes of council meeting during	1	0	1	0	0	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
	Participation	corruption free environment	in the management letter of the Auditor General and submit to Council for approval by 31 December			which process plan was approved						
D13	Good Governance and Public Participation	Promote service excellence and a corruption free environment	100% compliance with all the legislative deliverables as measured per iComply	% compliance	100%	Report from the iComply system and POE file if not loaded on the system	100	0	0	0	100	2.2
D14	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Compile and submit the annual financial statements to the Auditor-General by 31 August	Financial Statements submitted by 31 August	1	Proof of submission to AG	1	1	0	0	0	2.2
D15	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial	Compile and submit the annual agency and consolidated financial statements to the Auditor-General by 30	Financial Statements submitted by 30 September	1	Proof of submission to AG	1	1	0	0	0	2.2

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REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
D16	Municipal Financial Viability and Management	viability of Cape Agulhas Municipality To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	September 2015 Complete a Supplementary Valuation Roll by 31 March	Supplementary Valuation Roll completed by 31 March	1	Signed off valuation roll	1	0	0	1	0	2.2
D17	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Submit all required documents to the Communications section within 48hrs for placement on the official website	% of all documents submitted within 48 hours to Communications section for placement on the official website (number of documents submitted/number of documents to be placed on website) X 100 (%)	1	Record of documents submitted	100	100	100	100	100	2.2
D18	Municipal Financial	To implement sound	Implement 95% of the corrective	% of issues raised and	1	Progress reports to	95	0	0	0	95	2.2

REF	NATIONAL KPA	STRATEGIC OBJECTIVE	KPI	UNIT OF MEASUREMENT	BASELINE	POE	ANNUAL TARGET	Q1	Q2	Q3	Q4	WEIGHT
	Viability and Management	financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	measures as identified in internal audit reports to reduce risk areas	proposed corrective measures rectified (Number of recommendation implemented /number of recommendations made) X 100 (%)		internal audit						
D19	Municipal Financial Viability and Management	To implement sound financial management systems & procedures that will ensure the financial viability of Cape Agulhas Municipality	Review all Financial Services policies by 30 June	Percentage of policies reviewed by 30 June (number of policies reviewed/Number of approved policies) X 100 (%)	1	Record of policies reviewed	100	0	0	0	100	2.2
TOTAL 80%												



ANNEXURE B: COMPETENCY DESCRIPTIONS

1. Leading Competencies Cluster

COMPETENCY NAME		Strategic Direction and Leadership	
COMPETENCY DEFINITION		Provide and direct a vision for the institution, and inspire and deploy others to deliver on the strategic institutional mandate	
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> Understand institutional and departmental strategic objectives, but lacks the ability to inspire others to achieve set mandate Describe how specific tasks link to institutional strategies but has limited influence in directing strategy Has a basic understanding of institutional performance management, but lacks the ability to integrate systems into a collective whole Demonstrate a basic understanding of key decision-makers 	<ul style="list-style-type: none"> Give direction to a team in realising the institution's strategic mandate and set objectives Has a positive impact and influence on the morale, engagement and participation of team members Develop actions plans to execute and guide strategy implementation Assist in defining performance measures to monitor the progress and effectiveness of the institution Displays an awareness of institutional structures and political factors Effectively communicate barriers to execution to relevant parties Provide guidance to all stakeholders in the achievement of the strategic mandate Understand the aim and objectives of the institution and relate it to own work 	<ul style="list-style-type: none"> Evaluate all activities to determine value and alignment to strategic intent Display in-depth knowledge and understanding of strategic planning Align strategy and goals across all functional areas Actively define performance measures to monitor the progress and effectiveness of the institution Consistently challenge strategic plans to ensure relevance Understand institutional structures and political factors, and the consequences of actions Empower others to follow strategic direction and deal with complex situations Guide the institution through complex and ambiguous concern Use understanding of power relationships and dynamic tensions among key players to frame communications and develop strategies, positions and alliances 	<ul style="list-style-type: none"> Structure and position the institution to local government priorities Actively use in-depth knowledge and understanding to develop and implement a comprehensive institutional framework Hold self- accountable for strategy execution and results Provide impact and influence through building and maintaining strategic relationships Create an environment that facilitates loyalty and innovation Display a superior level of self-discipline and integrity in actions Integrate various systems into a collective whole to optimise institutional performance management Uses understanding of competing interests to manoeuvre successfully to a win/win outcome

COMPETENCY NAME	People Management		
COMPETENCY DEFINITION	Effectively manage, inspire and encourage people, respect diversity, optimise talent and build and nurture relationships in order to achieve institutional objectives		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Participate in team goal-setting and problem solving • Interact and collaborate with people of diverse backgrounds • Aware of guidelines for employee development, but requires support in implementing development initiatives 	<ul style="list-style-type: none"> • Seek opportunities to increase team contribution and responsibility • Respect and support the diverse nature of others and be aware of the benefits of a diverse approach • Effectively delegate tasks and empower others to increase contribution and execute functions optimally • Apply relevant employee legislation fairly and consistently • Facilitate team goal-setting and problem-solving • Effectively identify capacity requirements to fulfil the strategic mandate 	<ul style="list-style-type: none"> • Identify ineffective team and work processes and recommend remedial interventions • Recognise and reward effective and desired behaviour • Provide mentoring and guidance to others in order to increase personal effectiveness • Identify development and learning needs within the team • Build a work environment conducive to sharing, innovation, ethical behaviour and professionalism • Inspire a culture of performance excellence by giving positive and constructive feedback to the team • Achieve agreement or consensus in adversarial environments • Lead and unite diverse teams across divisions to achieve institutional objectives 	<ul style="list-style-type: none"> • Develop and incorporate best practice people management processes, approaches and tools across the institution • Foster a culture of discipline, responsibility and accountability • Understand the impact of diversity in performance and actively incorporate a diversity strategy in the institution • Develop comprehensive integrated strategies and approaches to human capital development and management • Actively identify trends and predict capacity requirements to facilitate unified transition and performance management

COMPETENCY NAME	Program and Project Management		
COMPETENCY DEFINITION	Able to understand program and project management methodology; plan, manage, monitor and evaluate specific activities in order to deliver on set objectives		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Initiate projects after approval from higher authorities • Understand procedures of program and project management methodology, implications and stakeholder involvement • Understand the rationale of projects in relation to the institution's strategic objectives • Document and communicate factors and risk associated with own work • Use results and approaches of successful project implementation as guide 	<ul style="list-style-type: none"> • Establish broad stakeholder involvement and communicate the project status and key milestones • Define the roles and responsibilities of the project team and create clarity around expectations • Find a balance between project deadline and the quality of deliverables • Identify appropriate project resources to facilitate the effective completion of the deliverables • Comply with statutory requirements and apply policies in a consistent manner • Monitor progress and use of resources and make needed adjustments to timelines, steps, and resource allocation 	<ul style="list-style-type: none"> • Manage multiple programs and balance priorities and conflicts according to institutional goals • Apply effective risk management strategies through impact assessment and resource requirements • Modify project scope and budget when required without compromising the quality and objectives of the project • Involve top-level authorities and relevant stakeholders in seeking project buy-in • Identify and apply contemporary project management methodology • Influence and motivate project team to deliver exceptional results • Monitor policy implementation and apply procedures to manage risks 	<ul style="list-style-type: none"> • Understand and conceptualise the long-term implications of desired project outcomes • Direct a comprehensive strategic macro and micro analysis and scope projects accordingly to realise institutional objectives • Consider and initiate projects that focus on achievement of the long-term objectives • Influence people in positions of authority to implement outcomes of projects • Lead and direct translation of policy into workable actions plans • Ensures that programs are monitored to track progress and optimal resource utilisation, and that adjustments are made as needed

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COMPETENCY NAME	Financial Management		
COMPETENCY DEFINITION	Able to compile, plan and manage budgets, control cash flow, institute financial risk management and administer procurement processes in accordance with recognised financial practices. Further to ensure that all financial transactions are managed in an ethical manner		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Understand basic financial concepts and methods as they relate to institutional processes and activities • Display awareness into the various sources of financial data, reporting mechanisms, financial governance, processes and systems • Understand the importance of financial accountability • Understand the importance of asset control 	<ul style="list-style-type: none"> • Exhibit knowledge of general financial concepts, planning, budgeting, and forecasting and how they interrelate • Assess, identify and manage financial risks • Assume a cost- saving approach to financial management • Prepare financial reports based on specified formats • Consider and understand the financial implications of decisions and suggestions • Ensure that delegation and instructions as required by National Treasury guidelines are reviewed and updated • Identify and implement proper monitoring and evaluation practices to ensure appropriate spending against budget 	<ul style="list-style-type: none"> • Take active ownership of planning, budgeting, and forecast processes and provides credible answers to queries within own responsibility • Prepare budgets that are aligned to the strategic objectives of the institution • Address complex budgeting and financial management concerns • Put systems and processes in place to enhance the quality and integrity of financial management practices • Advise on policies and procedures regarding asset control • Promote National Treasury's regulatory framework for Financial Management 	<ul style="list-style-type: none"> • Develop planning tools to assist in evaluating and monitoring future expenditure trends • Set budget frameworks for the institution • Set strategic direction for the institution on expenditure and other financial processes • Build and nurture partnerships to improve financial management and achieve financial savings • Actively identify and implement new methods to improve asset control • Display professionalism in dealing with financial data and processes

COMPETENCY NAME	Change Leadership		
COMPETENCY DEFINITION	Able to direct and initiate institutional transformation on all levels in order to successfully drive and implement new initiatives and deliver professional and quality services to the community		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Display an awareness of change interventions, and the benefits of transformation initiatives • Able to identify basic needs for change • Identify gaps between the current and desired state • Identify potential risk and challenges to transformation, including resistance to change factors • Participate in change programs and piloting change interventions • Understand the impact of change interventions on the institution within the broader scope of Local government 	<ul style="list-style-type: none"> • Perform an analysis of the change impact on the social, political and economic environment • Maintain calm and focus during change • Able to assist team members during change and keep them focused on the deliverables • Volunteer to lead change efforts outside of own work team • Able to gain buy-in and approval for change from relevant stakeholders • Identify change readiness levels and assist in resolving resistance to change factors • Design change interventions that are aligned with the institution's strategic objectives and goals 	<ul style="list-style-type: none"> • Actively monitor change impact and results and convey progress to relevant stakeholders • Secure buy-in and sponsorship for change initiatives • Continuously evaluate change strategy and design and introduce new approaches to enhance the institution's effectiveness • Build and nurture relationships with various stakeholders to establish strategic alliance in facilitating change • Take the lead in impactful change programs • Benchmark change interventions against best change practices • Understand the impact and psychology of change, and put remedial interventions in place to facilitate effective transformation • Take calculated risk and seek new ideas from best practice scenarios, and identify the potential for implementation 	<ul style="list-style-type: none"> • Sponsor change agents and create a network of change leaders who support the interventions • Actively adapt current structures and processes to incorporate the change interventions • Mentor and guide team members on the effects of change, resistance factors and how to integrate change • Motivate and inspire others around change initiatives

COMPETENCY NAME	Governance Leadership		
COMPETENCY DEFINITION	Able to promote, direct and apply professionalism in managing risk and compliance requirements and apply a thorough understanding of governance practices and obligations. Further, able to direct the conceptualisation of relevant policies and enhance cooperative governance relationships		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Display a basic awareness of risk, compliance and governance factors but require guidance and development in implementing such requirements • Understand the structure of cooperative government but requires guidance on fostering workable relationships between stakeholders • Provide input into policy formulation 	<ul style="list-style-type: none"> • Display a thorough understanding of governance and risk and compliance factors and implement plans to address these • Demonstrate understanding of the techniques and processes for optimising risk taking decisions within the institution • Actively drive policy formulation within the institution to ensure the achievement of objectives 	<ul style="list-style-type: none"> • Able to link risk initiatives into key institutional objectives and drivers • Identify, analyse and measure risk, create valid risk forecasts, and map risk profiles • Apply risk control methodology and approaches to prevent and reduce risk that impede on the achievement of institutional objectives • Demonstrate a thorough understanding of risk retention plans • Identify and implement comprehensive risk management systems and processes • Implement and monitor the formulation of policies, identify and analyse constraints and challenges with implementation and provide recommendations for improvement 	<ul style="list-style-type: none"> • Demonstrate a high level of commitment in complying with governance requirements • Implement governance and compliance strategy to ensure achievement of institutional objectives within the legislative framework • Able to advise Local Government on risk management strategies, best practice interventions and compliance management • Able to forge positive relationships on cooperative governance level to enhance the effectiveness of local government • Able to shape, direct and drive the formulation of policies on a macro level

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2. Core Competencies Cluster

COMPETENCY NAME	Moral Competence		
COMPETENCY DEFINITION	Able to identify moral triggers, apply reasoning that promotes honesty and integrity and consistently display behaviour that reflects moral competence		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> Realise the impact of acting with integrity, but requires guidance and development in implementing principles Follow the basic rules and regulations of the institution Able to identify basic moral situations, but requires guidance and development in understanding and reasoning with moral intent 	<ul style="list-style-type: none"> Conduct self in alignment with the values of Local Government and the institution Able to openly admit own mistakes and weaknesses and seek assistance from others when unable to deliver Actively report fraudulent activity and corruption within local government Understand and honour the confidential nature of matters without seeking personal gain Able to deal with situations of conflict of interest promptly and in the best interest of local government 	<ul style="list-style-type: none"> Identify, develop, and apply measures of self-correction Able to gain trust and respect through aligning actions with commitments Make proposals and recommendations that are transparent and gain the approval of relevant stakeholders Present values, beliefs and ideas that are congruent with the institution's rules and regulations Takes an active stance against corruption and dishonesty when noted Actively promote the value of the institution to internal and external stakeholders Able to work in unity with a team and not seek personal gain Apply universal moral principles consistently to achieve moral decisions 	<ul style="list-style-type: none"> Create an environment conducive of moral practices Actively develop and implement measures to combat fraud and corruption Set integrity standards and shared accountability measures across the institution to support the objectives of local government Take responsibility for own actions and decisions, even if the consequences are unfavourable

COMPETENCY NAME	Planning and Organising		
COMPETENCY DEFINITION	Able to plan, prioritise and organise information and resources effectively to ensure the quality of service delivery and build efficient contingency plans to manage risk		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Able to follow basic plans and organise tasks around set objectives • Understand the process of planning and organising but requires guidance and development in providing detailed and comprehensive plans • Able to follow existing plans and ensure that objectives are met • Focus on short- term objectives in developing plans and actions • Arrange information and resources required for a task, but require further structure and organisation 	<ul style="list-style-type: none"> • Actively and appropriately organise information and resources required for a task • Recognise the urgency and importance of tasks • Balance short and long-term plans and goals and incorporate into the team's performance objectives • Schedule tasks to ensure they are performed within budget and with efficient use of time and resources • Measures progress and monitor performance results 	<ul style="list-style-type: none"> • Able to define institutional objectives, develop comprehensive plans, integrate and coordinate activities, and assign appropriate resources for successful implementation • Identify in advance required stages and actions to complete tasks and projects • Schedule realistic timelines, objectives and milestones for tasks and projects • Produce clear, detailed and comprehensive plans to achieve institutional objectives • Identify possible risk factors and design and implement appropriate contingency plans • Adapt plans in light of changing circumstances • Prioritise tasks and projects according to their relevant urgency and importance 	<ul style="list-style-type: none"> • Focus on broad strategies and initiatives when developing plans and actions • Able to project and forecast short, medium and long term requirements of the institution and local government • Translate policy into relevant projects to facilitate the achievement of institutional objectives

COMPETENCY NAME	Analysis and Innovation		
COMPETENCY DEFINITION	Able to critically analyse information, challenges and trends to establish and implement fact-based solutions that are innovative to improve institutional processes in order to achieve key strategic objectives		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Understand the basic operation problem solving of analysis, but lack detail and thoroughness • Able to balance independent analysis with requesting assistance from others • Recommend new ways to perform tasks within own function • Propose simple remedial interventions that marginally challenges the status quo • Listen to the ideas and perspectives of others and explore opportunities to enhance such innovative thinking 	<ul style="list-style-type: none"> • Demonstrate Logical techniques and approaches and provide rationale for recommendations • Demonstrate objectivity, insight, and thoroughness when analysing problems • Able to break down complex problems into manageable parts and identify solutions • Consult internal and external stakeholders on opportunities to improve processes and service delivery • Clearly communicate the benefits of new opportunities and innovative solutions to stakeholders • Continuously identify opportunities to enhance internal processes • Identify and analyse opportunities conducive to innovative approaches and propose remedial intervention 	<ul style="list-style-type: none"> • Coaches team members on analytical and innovative approaches and techniques • Engage with appropriate individuals in analysing and resolving complex problems • Identify solutions on various areas in the institution • Formulate and implement new ideas throughout the institution • Able to gain approval and buy- in for proposed interventions from relevant stakeholders • Identify trends and best practices in process and service delivery and propose institutional application • Continuously engage in research to identify client needs 	<ul style="list-style-type: none"> • Demonstrate complex analytical and problem solving approaches and techniques • Create an environment conducive to analytical and fact-based problem-solving • Analyse, recommend solutions and monitor trends in key challenges to prevent and manage occurrence • Create an environment that fosters innovative thinking and follows a learning organisation approach • Be a thought leader on innovative customer service delivery, and process optimisation • Play an active role in sharing best practice solutions and engage in national and international local government seminars and conferences

COMPETENCY NAME	Knowledge and Information Management		
COMPETENCY DEFINITION	Able to promote the generation and sharing of knowledge and information through various processes and media, in order to enhance the collective knowledge base of local government		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Collect, categorise and track relevant information required for specific tasks and projects • Analyse and interpret information to draw conclusions • Seek new sources of information to increase the knowledge base • Regularly share information and knowledge with internal stakeholders and team members 	<ul style="list-style-type: none"> • Use appropriate information systems and technology to manage institutional knowledge and information sharing • Evaluate data from various sources and use information effectively to influence decisions and provide solutions • Actively create mechanisms and structures for sharing of information • Use external and internal resources to research and provide relevant and cutting-edge knowledge to enhance institutional effectiveness and efficiency 	<ul style="list-style-type: none"> • Effectively predict future information and knowledge management requirements and systems • Develop standards and processes to meet future knowledge management needs • Share and promote best- practice knowledge management across various institutions • Establish accurate measures and monitoring systems for knowledge and information management • Create a culture conducive of learning and knowledge sharing • Hold regular knowledge and information sharing sessions to elicit new ideas and share best practice approaches 	<ul style="list-style-type: none"> • Create and support a vision and culture where team members are empowered to seek, gain and share knowledge and information • Establish partnerships across local government to facilitate knowledge management • Demonstrate a mature approach to knowledge and information sharing with an abundance and assistance approach • Recognise and exploit knowledge points in interactions with internal and external stakeholders

COMPETENCY NAME	Communication		
COMPETENCY DEFINITION	Able to share information, knowledge and ideas in a clear, focused and concise manner appropriate for the audience in order to effectively convey, persuade and influence stakeholders to achieve the desired outcome		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Demonstrate an understanding for communication levers and tools appropriate for the audience, but requires guidance in utilising such tools • Express ideas in a clear and focused manner, but does not always take the needs of the audience into consideration • Disseminate and convey information and knowledge adequately 	<ul style="list-style-type: none"> • Express ideas to individuals and groups in formal and informal settings in a manner that is interesting and motivating • Able to understand, tolerate and appreciate diverse perspectives, attitudes and beliefs • Adapt communication content and style to suit the audience and facilitate optimal information transfer • Deliver content in a manner that gains support, commitment and agreement from relevant stakeholders • Compile clear, focused, concise and well-structured written documents 	<ul style="list-style-type: none"> • Effectively communicate high-risk and sensitive matters to relevant stakeholders • Develop a well-defined communication strategy • Balance political perspectives with institutional needs when communicating viewpoints on complex issues • Able to effectively direct negotiations around complex matters and arrive at a win-win situation that promotes Batho Pele principles • Market and promote the institution to external stakeholders and seek to enhance a positive image of the institution • Able to communicate with the media with high levels of moral competence and discipline 	<ul style="list-style-type: none"> • Regarded as a specialist in negotiations and representing the institution • Able to inspire and motivate others through positive communication that is impactful and relevant • Creates an environment conducive to transparent and productive communication and critical and appreciative conversations • Able to coordinate negotiations at different levels within local government and externally

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COMPETENCY NAME	Results and Quality Focus		
COMPETENCY DEFINITION	Able to maintain high quality standards, focus on achieving results and objectives while consistently striving to exceed expectations and encourage others to meet quality standards. Further, to actively monitor and measure results and quality against identified objectives		
ACHIEVEMENT LEVELS			
BASIC	COMPETENT	ADVANCED	SUPERIOR
<ul style="list-style-type: none"> • Understand quality of work but requires guidance in attending to important matters • Show a basic commitment to achieving the correct results • Produce the minimum level of results required in the role • Produce outcomes that is of a good standard • Focus on the quantity of output but requires development in incorporating the quality of work • Produce quality work in general circumstances, but fails to meet expectation when under pressure 	<ul style="list-style-type: none"> • Focus on high- priority actions and does not become distracted by lower-priority activities • Display firm commitment and pride in achieving the correct results • Set quality standards and design processes and tasks around achieving set standards • Produce output of high quality • Able to balance the quantity and quality of results in order to achieve objectives • Monitors progress, quality of work, and use of resources; provide status updates, and make adjustments as needed 	<ul style="list-style-type: none"> • Consistently verify own standards and outcomes to ensure quality output • Focus on the end result and avoids being distracted • Demonstrate a determined and committed approach to achieving results and quality standards • Follow task and projects through to completion • Set challenging goals and objectives to self and team and display commitment to achieving expectations • Maintain a focus on quality outputs when placed under pressure • Establishing institutional systems for managing and assigning work, defining responsibilities, tracking, monitoring and measuring success, evaluating and valuing the work of the institution 	<ul style="list-style-type: none"> • Coach and guide others to exceed quality standards and results • Develop challenging, client-focused goals and sets high standards for personal performance • Commit to exceed the results and quality standards, monitor own performance and implement remedial interventions when required • Work with team to set ambitious and challenging team goals, communicating long-and short-term expectations • Take appropriate risks to accomplish goals • Overcome setbacks and adjust action plans to realise goals • Focus people on critical activities that yield a high impact

ANNEXURE C

This Personal Development Plan (PDP) is drafted in terms of Section 9 of the Performance Agreement entered into between the Parties.

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DEVELOPMENT NEED	OUTCOME	PROPOSED TRAINING DEVELOPMENT ACTIVITY	PROPOSED MODE OF DELIVERY	TIME FRAME	WORK OPPORTUNITY CREATED TO PRACTICE DEVELOPMENT NEED	SUPPORT PERSON

Employee: *[Signature]*

Date: 28/06/2015

Employer: *[Signature]*

Date: 22/06/15